

LAW 235 : Capital Market Law

Explain the goals and strategies of financial regulation, theory of financial markets , capital market law and regulation , authorized persons , intermediaries and brokers, market structure, issuer disclosure rules, trading on line , protection of investors, preconditions of listing , qualification periods, the capital market authority , the buying and selling of securities , the security depository center, types of tradeable security, the stock exchange market, brokers` regulation, investment funds , disclosure, manipulation and insider trading, price stabilization mechanism, special purpose entities, listed companies with accumulated losses, corporate governance, prudential rules, resolution of securities disputes, merger and acquisition, securities business regulation, authorized persons, market conduct, investment accounts and listing.

Credits 3

Prerequisite Courses

LAW 134

LAW 136

Corequisites

NONE